



03/29/01

09/819762

03/29/01

**UTILITY PATENT  
APPLICATION TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

010401

Total Pages

First Named Inventor or Application Identifier

Yoshiki SAKUMA

Check Box, if applicable [ ] Duplicate

Express Mail Label No.

APPLICATION ELEMENTS FOR:  
**HETEROBIPOLAR TRANSISTOR AND A METHOD  
OF FORMING A SiGeC MIXED CRYSTAL LAYER**

ADDRESS TO:

Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 202311. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)2. ☒ Specification Total Pages [26]3. ☒ Drawing(s) (35 USC 113) Total Sheets [7]4. ☒ Oath or Declaration Total Pages [5]a. ☒ Newly executed (original)b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed).i. ☐ Deletion of Inventor(s)Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).5. ☐ Incorporation by reference (useable if box 4b is checked)The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.6. ☐ Microfiche Computer Program (Appendix)7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement Verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. ☒ Assignment Papers (cover sheet and document(s)) to: **Fujitsu Limited, Kawasaki, Japan**9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. **010401**

First Named Inventor or Application Identifier

**Yoshiki SAKUMA**

**PAGE 2 OF 3**

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations(PTO-1449 & 8 Ref.)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☒ [1] Certified copy of Priority Document is enclosed.
- a. Priority of Japanese application No. 2000-247057 filed on August 16, 2000 is claimed under 35 USC 119.
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic . . Fee
The filing fee is calculated below				\$710.00
Total Claims	18 - 20		x \$18.00	
Independent Claims	3 - 3		x \$80.00	
Multiple Dependent Claims			\$270.00	
Basic Filing Fee				\$710.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	\$40.00
TOTAL				\$750.00

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**010401**

First Named Inventor or Application Identifier

**Yoshiki SAKUMA**

**PAGE 3 OF 3**

☒ A check in the amount of \$750.00 is enclosed to cover the filing fee of \$710.00 and the assignment recordation fee of \$40.00.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

**18. CORRESPONDENCE ADDRESS:**



**23850**

PATENT TRADEMARK OFFICE

**SUBMITTED BY**

Typed or Printed Name **Donald W. Hanson**

Reg. No. **27,133**

Signature

Date: **March 29, 2001**